

Margin Trading Facility (MTF)

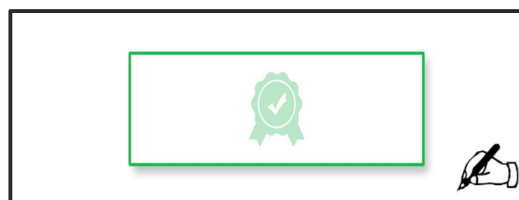
This Margin Trading Facility (MTF) is provided by Asit C Mehta Investment Intermediates Ltd to eligible customers, subject to the following terms and conditions:

- **Risk Disclosure:** Margin trading involves a high level of risk and may not be suitable for all investors. You could lose more than your initial investment. It is essential to have a thorough understanding of margin trading, including the potential for both gains and losses, before engaging in Margin Trading Facility (MTF).
- **Eligibility:** Access to the Margin Trading Facility (MTF) is subject to eligibility criteria established by Asit C Mehta Investment Intermediates Ltd. We reserve the right to refuse or terminate Margin Trading Facility MTF accounts at our discretion.
- **Margin Requirements:** Customers using the Margin Trading Facility (MTF) must maintain a minimum margin requirement as specified by Asit C Mehta Investment Intermediates Ltd. Failure to meet margin calls may result in the liquidation of positions.
- **Interest and Costs:** Customers will be responsible for interest charges on borrowed funds and other costs associated with margin trading, as outlined in our agreement.
- **Market Volatility:** Markets can be highly volatile, and sudden price movements can result in substantial losses. Be prepared for market fluctuations and potential margin calls.
- **Limited Liability:** Asit C Mehta Investment Intermediates Ltd is not responsible for losses incurred due to market conditions, technical issues, or other factors beyond our control.
- **Education and Information:** We encourage customers to educate themselves about margin trading and stay informed about market conditions before executing trades.
- **Financial Advice:** Asit C Mehta Investment Intermediates Ltd does not provide financial, tax, or legal advice. Customers should consult with qualified professionals for personalized advice.
- **Termination:** Asit C Mehta Investment Intermediates Ltd reserves the right to terminate or suspend Margin Trading Facility (MTF) access at any time for any reason.
- **Governing Law:** This Margin Trading Facility (MTF) is subject to the laws and regulations. Disputes will be resolved in accordance with the laws.

I/We hereby agree to avail Margin Trading Facility ("MTF") from Asit C Mehta Investment Intermediates Ltd in Equity Segment of BSE. I/We also agree to avail of Margin Trading Funding from Asit C Mehta Intermediates Ltd in accordance with the following terms and conditions agreed between me/us and Asit C Mehta Investment Intermediates Ltd.

I hereby confirm that I have read, understood, and accept the [Terms and Conditions](#) provided for Margin Trading Facility (MTF).

The above referred Margin Trading Facility (MTF) is offered by Asit C Mehta Investment Intermediates Ltd.



Asit C. Mehta

INVESTMENT INTERMEDIATES LTD.

Corporate Member: BSE Ltd. & National Stock Exchange of India Ltd.

Regd. Office : "Pantomath Nucleus House", Saki Vihar Road, Andheri (E), Mumbai - 400 072. Maharashtra, INDIA.

Tel.: (022) 2858 3333 / 2858 4545 / 6132 5757 • URL: investmentz.com • E-mail: customerservice@acm.co.in / investorgrievance@acm.co.in • CIN: U65990MH1993PLC075388

Dear Sir/Madam,

We are pleased to inform you that we are providing Margin Trading Facility to the eligible clients. The major benefit of availing margin trading facility is that investors are able to leverage the value of securities they already own to increase the size of investment. Besides, investors do not need to block their own funds for investment in securities, when they avail of the margin trading facility. Margin Trading Facility allows investors to buy a stock by paying just a fraction of the total transaction value and repaying the differential amount later with interest.

However, caution should also be exercised while investing in securities through the margin trading facility. As you are already aware, investment in securities market is subject to various types of risks. For example, in a falling market, if the value of securities purchased on margin falls, investors have to provide additional funds to avoid the forced sale of such securities purchased on margin.

We, at Asit C. Mehta Investment Intermediates Limited, endeavour to help you to maximize the advantage that you may be able to gain by availing of Margin Trading Facility provided by us.

Thanking You,
Yours faithfully,

Asit C. Mehta Investment Intermediates Limited



By Asit C. Mehta
A Pantomath Group Company

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SEBI & Regulatory Registration Nos. - BSE/NSE: INZ000186336 | DP REG: IN-DP-685-2022 | DPID-CDSL: 12013200 | Research Analyst: INH000016940 PMS:
INP000005801 | AMFI Regd. Mutual Fund Distributor: ARN-3086 | PFRDA: POP 21092018

Letter requesting availing of Margin Trading Facility

Date:

To

**Asit C. Mehta Investment Interrmediates Limited,
Pantomath Nucleus House, Saki Vihar Road,
Andheri (East), Mumbai 400 072**

Dear Sir/Madam,

Sub: Request to provide Margin Trading Facility

I am your client with common client code _____

I am given to understand that Asit C. Mehta Investment Interrmediates Limited (ACMIIL) is providing Margin Trading facility and has the necessary approval BSE Ltd (BSE) for the same.

I am desirous of availing the said facility from you and have received, read and understood the contents of the Margin Trading Facility Document and the Rights and Obligations Document for Margin Trading. I also understand that on ACMIIL making a margin call, it is the client's obligation to make payment towards the same immediately, failing which ACMIIL has the discretion to liquidate the securities at any time from making such margin call, but in any event not later than 5 working days from the date of ACMIIL making the margin call,

In view of the above, you are requested to provide me Margin Trading Facility

Thanking You,
Yours sincerely,

Client Signature:

Client Name:

Client Address:

Asit C. Mehta

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Terms & Condition

- The Client is eligible for trading, and is not in default for any dues with ACMIIL, including Demat tariff charges,
- The Client is desirous of availing Margin Trading Facility, and has approached the broker with that request.
- Upon the request of the Client, the broker has agreed to provide the said facility to the client subject to terms and conditions contained herein.

CLIENT REPRESENTATION:

The Client hereby undertakes to:

- Place the initial and maintenance margin amounts as ACMIIL may specify to the Client from time to time, subject to requirements specified by SEBI.
- Authorize retention of the shares with ACMIIL upon the receipt of the same in the pay out from the Stock exchange till the amount due in respect of the said transaction including the dues to the broker is paid in full by the client.
- To pay to ACMIIL - brokerage, commission, fees, transaction costs, service tax, stamp duty and other taxes / expenses as are prevailing from time to time and as they apply to the Client's account, transactions and to the Services that the broker renders to the Client.
- Abide by any revision in any of the terms of this Facility as may be agreed between the parties.
- Client shall duly observe and perform the conditions and obligations stated herein.

BROKER'S REPRESENTATION

ACMIIL represents that:

On entering into this Facility and deposit of initial margin by the client, ACMIIL undertakes to settle the obligation towards the Stock Exchange for and on behalf of the Client. The Client hereby agrees and authorizes ACMIIL to make such payment on his behalf.

MARGIN TRADING FACILITY

- The Margin Trading facility will be provided for cash segment and only on delivery transactions.
- The eligibility conditions and commercials relating to the same are enclosed herewith as Schedule I



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3. The Client shall be free to take the delivery of the Shares at any time by repaying the amounts that was paid by **ACMIIL** to the Stock Exchange towards such Shares, and further paying all such sums of money as may be due towards brokerage, transaction costs and charges, service tax and other costs towards his transactions. Alternatively, Client may at any time, but not before delivery of the Shares has been actually received by **ACMIIL**, choose to sell the Shares on the Stock Exchange by issuing appropriate instructions to **ACMIIL**.
Provided that, the Client may at his risk as to cost and consequences, choose to sell the Shares prior to receipt of confirmation from the Stock Exchange of delivery of Securities against his Purchase, and in such situation, the Client shall be fully responsible to bear the losses / costs arising due to auctions / closeout by the Stock Exchange, in the event the delivery against purchase fails to materialize.
4. Where the Shares are sold as provided in sub-clause 3 above, **ACMIIL** will affect the pay-in of Shares to the Stock Exchange in accordance with the Stock Exchange requirements. Upon receipt of sale proceeds from the Stock Exchange towards the sale of Shares, **ACMIIL** shall, after deducting therefrom brokerage, fees, charges, levies, taxes, duties and other costs, charges and expenses, and further deducting amounts due to it from the Client on account of moneys paid by **ACMIIL** on his behalf to the Stock Exchange at the time of purchase of Shares, effect the net payment to the Client.
5. **ACMIIL** may, at its sole and absolute discretion, revise the limit of initial and/or maintenance margin amount from time to time. The Client agrees and undertakes to abide by such revision, and where there is an upward revision of such margin amount, he agrees to make up the shortfall within such time as **ACMIIL** may permit, failing which the Client shall be deemed to be in breach of this Document.
6. The MTF shall be provided only in respect of such Shares (eligible securities) as may be decided by **ACMIIL** from time to time.
7. The Client may furnish further Margin Amount from time to time for availing higher MTF Limit.

MONITORING CLIENT'S POSITIONS

1. **ACMIIL** shall monitor and review on a continuous basis the client's position with regard to the margin trading facility.
2. **ACMIIL** shall make a 'margin call' requiring the client to place such Margin Amount as may be specified by it (**ACMIIL**) with a view to make up for the MTM Loss, If any, in accordance with SEBI requirements. Such margin call will be made by way of an alert on the mobile app investmentz. The client is required to download the app and see the notifications for such margin call/shortage/other messages.
3. The client can pledge additional shares in the event of margin shortage, provided such shares are pledged by the client in favor of **ACMIIL** at least 24 hours before square off.



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4. In case of margin shortage not been paid for by the client within stipulated time (but in any case not later than 5 working days from the date of ACMIIL making the margin call) , ACMIIL will send sms before squaring off in the event of squaring off due to such margin shortage.
5. On receipt of 'margin call' intimation from ACMIIL the Client shall make good such deficiency by placing the further Margin Amount, within such time as is specified by SEBI, failing which the Client shall be deemed to be in breach of this covenant.
6. Notwithstanding what is stated above, **ACMIIL** may immediately sell the Shares, in the circumstances specified by SEBI and for this purpose, the Client do hereby expressly authorize such sale, and thereafter, the sale proceeds shall be treated in the manner specified in Clause V.4 above. **ACMIIL** may, in its sole discretion, determine which Shares is/are to be sold, and / or which contract(s) is/are to be closed.
7. The Client agrees and understands that **ACMIIL** shall have full freedom and authority to vary, modify, revise the initial and maintenance margin amount, minimum transaction amount from time to time, subject to the SEBI requirements in this respect, and Client agrees to abide by such variation, modification or revision

PLEDGE OF SECURITIES

Notwithstanding anything contained herein the Client hereby pledges and shall have deemed to have pledged forthwith the Shares, at the time when received by **ACMIIL** as security for repayment and settlement of amounts due to **ACMIIL** from the Client under Margin Trading Facility along with interest and other amounts payable there under. The Client hereby records that the share certificates account statements or any other documents evidencing the right, title and interest of the Client as the holder of the Securities shall remain deposited and shall be deemed to have been deposited by the Client as having been deposited being marketable securities, for repayment of the amounts due under the Margin Trading Facility and this instrument accordingly shall be deemed to be connected with the mortgage of the marketable securities / Shares as contemplated by Section 24 of the Bombay Stamp Act, 1958/Section 23A of the Indian Stamp Act, 1899 or the corresponding/relevant provisions of the Stamp Act as in force in the relevant state.

TERMINATION & EXPIRY

1. In the event of termination / determination of this Facility, the Client shall forthwith settle the dues of **ACMIIL** shall be entitled to immediately adjust the Margin Amount against the dues of the Client, and the Client hereby authorizes **ACMIIL** to make such adjustment.
2. After such adjustment, if any further amount is due from the Client to **ACMIIL**, the Client shall settle the same forthwith. Upon full settlement of all the dues of the Client to **ACMIIL**, **ACMIIL** shall release the balance amount to the Client.
3. In the event of failure of the Client to settle the dues of **ACMIIL** within 30 days, **ACMIIL** shall be entitled to enforce its rights and shall be entitled to sell off Shares, and adjust/apply the net sale proceeds thereof in recovery of its dues.



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SCHEDULE I

Eligibility conditions and commercials for provision of Margin Trading facility to client

Client Name:

UCC:

CKYC number:

KRA validated: Yes/No

Demat account number with ACMIL _____

Client's minimum assets under management with ACMIL for availing of MTF	Rs. 5,00,000/- in eligible securities*, at all times
Interest rate	Currently 18% per annum
To maintain your MTF holdings	Place new MTF order within 90 days
Maximum period for which funding will be done	Depends on the availability for Margin of the Client
Eligible securities for margin funding*	Only approved scrips as communicated by Risk department from time to time
Limit that will be given based on collateral	Eligible securities, after applying haircut

Client Signature: _____